Motion of regret, afternoon of October 31st, 2016

Lord Storey to move that this House regrets that information about pupils’ nationality and country of birth collected under the Education (Pupil Information) (England) (Miscellaneous Amendments) Regulations 2016 (SI 2016/808) could be used to help determine a child’s immigration status.

Call to annul the Statutory Instrument 2016/808: Summary

1. This Statutory Instrument enables the collection of country-of-birth and nationality data for every school child in England to be sent to the National Pupil Database.

2. This Statutory Instrument was laid on July 27th and came into effect on September 1st, 2016. It has been rushed through Parliament in the six week summer holidays without scrutiny before it came into effect. There was no public consultation. The business case and minutes of the decision-making have been withheld by the Department, preventing scrutiny of the policy intent or the cost versus benefit to children, schools and local authorities of complying with the request for data, either before it was to come into effect, or now. (Requested on July 4, 2016.)

3. The policy and its implementation in practice have caused confusion and harm. This includes parents and children and young people who have experienced discrimination and embarrassment in front of their peers calling for ‘this racist policy to be scrapped.’

4. We believe the intended purposes of this data collection need intense scrutiny. Since the Department is refusing to enable this, we are asking for the SI to be annulled, and the collection of the new data to be paused until full, transparent explanations are available on policy intent, uses of data to date are clarified, and its oversight and safeguards are in place to ensure there are no surprises in future.

5. On October 6th, 2016, School Census day, a delayed Freedom-of-Information request revealed that the National Pupil Database census data are already being used by the Home Office through the Department for Education to target individuals connected to immigration status.

6. This was an unwelcome surprise. As Schools Week Editor Laura McInerney asked in the Guardian: what society lets families fear deportation for sending their children to school?

7. The DfE is passing out home address and school address on named individuals to the Home Office from personal confidential data families entrusted to schools during the admissions process, and extracted in school censuses. These requests are for thousands of individuals.

8. Without independent oversight and statutory safeguards many fear that these new data could also be used to search for people; not only helping to determine a child’s immigration status, but target and track their wider family members and communities.

9. These new expanded census data, adding ‘country-of-birth and nationality’ add a way to actively seek out migrants, their homes and schools.

10. The SI must be annulled. The country-of-birth and nationality elements need to be withdrawn, and the English-as-an-Additional language element rewritten after considered consultation. Further, we ask the Lords to call for independent oversight to be brought in to ensure existing policy of use for immigration enforcement and all other data uses is carried out with the utmost transparency and scrutiny.

11. Timeline: In August 2015 the former Secretary of State for Education announced plans in the media to measure the impact of “education tourism”.

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2. FOI Pupil data: decision making on additional census data items https://www.whatdotheyknow.com/request/pupil_data_decision_making_on_ad?utm_medium=link&utm_source=whatdotheyknow
12. November 2015: The Department for Education Star Chamber Scrutiny Board signed off the census expansion for country-of-birth and nationality data collection to be included in the 2016-17 school and Early Years censuses. These extract named, highly sensitive, personal confidential data, including special needs and detailed ethnicity data, which are then sent to the DfE every term each year, and stored indefinitely in the National Pupil Database, now with lifetime school records of 20 million people.7

13. A freedom-of-information request asking for the meeting minutes and the business case shared in that meeting has been refused8, preventing independent public scrutiny of plans or policy intent before the SI became legislation or since. ( Mentioned in the government response to the Scrutiny Committee.)9

14. December 2015: The technical change form includes nothing on language skills.10 The aim is: “to identify children who have moved into the English education system from abroad to to ascertain the impact that such entrants have on the education system.” “The introduction of these data items will assist in the identification of such pupils and may facilitate the targeting of support to such pupils.”

15. Schools Week, June 2016: “Nicky Morgan, the education secretary, announced she had ordered officials to investigate whether immigration levels are linked to “education tourism”. Schools Week wrote, “It is understood the [new census] information will not be used as part of its investigation into the “impact of mass migration” on schools11 and said that Louise Casey had already undertaken a similar review.

16. September 26th 2016: an open letter from over 20 rights’ organisations12 under the Against Borders for Children campaign and public pressure the Department told the BBC later that day, that the nationality data would not be given to the Home Office.


18. October 12th 2016: the Lords government spokesman said, “I reassure the House that the information is kept within the Department for Education and is not passed on to the Home Office”.14

19. Indicators for English-as-an-Additional-Language (EAL) are already collected. The additional 2016-17 fields15 were ONLY for “country-of-birth” and “nationality” when approved in December 2015. EAL appears to be an additional approval in February 201616 but it is unclear why. EAL practitioners have said they are poorly thought out.17 It is questionable how standard the 5-level assessment tool will be. While the change request says “some children may have multiple nationalities”, forms seen to date cannot collect more than one. Most truly bilingual children will not be recorded as EAL at all. Some practitioners warn it could be “messy and inaccurate.”18 Changes were announced in May “after a delay”19, despite this warning: “Early guidance is imperative for Local Authorities and Schools to plan.”

20. Concerns were raised to the Lords Secondary Legislation Scrutiny Committee, as it was rushed through in the recess, following efforts since June to ask for changes to be reconsidered before the SI was laid.20 Notably in the reply, the Government21 does not respond to the issue of Home Office access at all.

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7 NPD has grown to 20 million people’s named records stored forever http://www.theregister.co.uk/2016/02/12/national_pupil_database_now_holds_20_million_records/
8 FOI made on July 4, 2016 for the Star Chamber Scrutiny Board Minutes https://www.whatdodotheyknow.com/request/pupil_data_decision_making_on_add#outgoing-574764
11 http://schoolsweek.co.uk/schools-must-collect-data-on-immigrant-children-from-autumn/
12 Over 20 organisations sign Against Borders for Children letter to Justine Greening http://www.schoolsabc.net/2016/06/20-orgs-support/
14 October 12th Hansard: https://hansard.parliament.uk/forums/2016-10-12/debates/BE938C0A-75F0-453B-8EB3-D4DC2C4556AF/SchoolCensusPupils%EM28%u99Nativity
17 EAL rushed and poor in implementation http://www.teachertoolkit.me/2016/10/01/eal-census/
18 http://schoolsweek.co.uk/schools-must-collect-data-on-immigrant-children-from-autumn/
How have individual pupils’ data been used to date?

22. All the data in the National Pupil Database are collected on a named pupil basis, and released at pupil level to a range of third parties. They are not ‘solely for the DfE to use,’ or anonymous statistics. See page 19-21 of the National Pupil Database user guide. All data in the NPD are released in raw identifiable formats at an individual pupil level, with no suppression for small numbers on release.

23. The data are most often released on an individual, occasionally named, identifiable basis. The DfE shares this database of 20 million people at pupil level, with other government departments today.

24. Of the 709 requests and releases of identifiable data from the DfE request process in 2012-2016, only 23 have been for aggregated data. The rest are all of identifying, individual pupil level.

25. Safeguards like assessment for privacy impact, ethical review, routine audit procedures are lacking. The Telegraph, for example in 2015, had not confirmed whether it had destroyed millions of children’s identifiable data it received and past its destruction due date. Extra sensitive data (special needs/disability data, reasons for exclusion like violence/theft) are released to journalists as well.

26. It is said that the benefit of giving the data to third-parties is for public interest and ‘promoting the education of well-being of children’. Data consultancies and pupil-tutor matching services are not what many think of as ‘research’ among appropriate recipients for their children’s confidential sensitive data.

27. Commercial publications that use sensitive identifiable pupil level data include comparison websites for example. Releases are for long periods of use. Identifiable and sensitive pull level data was released for this web comparison tool and publication and Schools Guide for six years. Is that “research” purposes?

28. Releases are not always for very specific purposes.

29. The Times newspaper were granted Tier 2, identifiable and sensitive individual pupils’ data, for the purposes of “Stories on the newspaper/digital, ranking of schools, interactive tool on the website.” and the Times, “To pick interesting cases / groups of students". Variables requested included postcode, date-of-birth, candidate number, absence, exclusions, children in need, Special Educational Needs, Type of disability, language, ethnicity and exam candidate number.

30. While users give assurances that, no pupil or family will be identified when they publish they data, and they will not “share or publish individual level data” the disclosure by the DfE to users for example to Schools Guide, is sensitive identifying data about individual pupils who have not consented to this use.

31. The Home Office using pupil data to access individual home address and school address, including for immigration enforcement, had not been disclosed or discussed by the DfE before this response from the DfE FOI team published October 6th 2016. ‘Police and the Home Office access is to search for specific individuals in the National Pupil Database and to return the latest address and/or school information held where a match is found in the NPD.’

32. The Home Office requests are to support the Absconder Tracing Team’s (NATT) key objectives and commitment to upholding a duty of care for missing children and abuse of immigration control.


34. Each Home Office request includes lists of people, to enable to the Department to search for them in the NPD. The total volume of pupil records used July 2015-Sept 2016 in 25 requests, was a total of 2,462 individuals and 520 records returned to the Home Office.”[update Oct 28th 2016]

35. There is also use by academic public interest researchers. Other research models provide more secure infrastructure to enable use without copying and sending it across the country. See our research paper.

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22 Third party register of other releases https://www.gov.uk/government/publications/national-pupil-database-requests-received


30 FOI request that revealed Home Office purposes https://www.whatdotheyknow.com/request/sharing_national_pupil_database?nocache=incoming-878444#incoming-878444


36. According to the 2015 report from Professor Steve Strand at the Oxford Migration Observatory, the leading academic on the National Pupil Database: “The NPD is limited in a number of ways, it does not have a variable such as nationality or country of birth that can be used to identify migrants.”

37. What is said, is that it will be used to target resources. The truth is that the National Pupil Database is already being used to target children and communities, and in secret.

38. What is said, is that the information is not given to the Home Office. The truth is that information from the National Pupil Database is already being given to the Home Office. We don’t yet know for how many individuals, with what oversight, or where this new policy came about.

39. What is said, is that new data items will provide valuable statistical information on the characteristics of these groups of children. The truth is that these data used are not only used as statistics, but given out at individual pupil level from millions of individual children.

40. Since all the raw identifiable and sensitive data in the National Pupil database are already given to a wide list of third party uses including the press, and commercial business, there is no basis for the claim made that the data are solely for the DfE to use in research, statistics and analysis.

41. The Government Lords spokesman said on October 12th, I can reassure the noble Lord the information is not given to the Home Office. The government seems unwilling to mention current Home Office use of identifiable, confidential data. There is no new way we are yet aware of, that the DfE will separate some data for one purpose from another or restrict some data from being sent to the Home Office or to journalists, and maintain the purposes for which it was said the data were necessary.

42. Other organisations are concerned that press access to the new data could also be problematic, given the rise over recent years in xenophobic sentiment in the British media, as noted by the United Nations, and some fear that newspapers granted access to the data may use it to single out and stigmatise communities or schools. The NUT called for transparency of all data use with consent.

43. The rush to implement this in schools has caused distress and discrimination. Parents, even celebrities who provided the new data, but did not know their children’s data would be sent to the national database have written to campaigners and commented on social media, they don’t know what to do.

44. It is now a question of trust.

45. Will the government only use the new census data for the purposes it states?

46. Will it continue to use National Pupil Data to find adults through their children’s school records?

47. What oversight and transparency have there been to date for these purposes?

48. Crucially, if not on a statutory footing, what value will any assurance that the government may now give, that scope creep of the use of these named children records will not change in future?

49. Will 2013 plans return? Schools carrying out checks on migrant status as part of school admissions or limits on access to education for everyone?

50. The country-of-birth and nationality elements need to be withdrawn, and the English-as-an-Additional language element rewritten after considered consultation. The SI should be annulled.

36. Prof. Steve Strand on adding nationality and country-of-birth to the NPD http://www.migrationobservatory.ox.ac.uk/resources/briefings/educational-outcomes-among-children-english-additional-language-eal/


38. Sensitive identifiable data are released from the NPD by the DfE several years worth at a time, sending out millions of children’s data https://www.whatdotheyknow.com/request/pupil_data_sensitive_data_releas

39. defenddigitalme blogpost detailing the 709 releases of identifiable pupil level data http://wp.me/pItLXMz-cl


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43. The NUT called for transparency of all data use with consent. https://www.theguardian.com/politics/2013/mar/27/ministers-immigration-crackdown-education-tourists

Examples of sensitive data fields collected and shared

The current detailed list of data captured can be found in the School Census Guide v.1.3 2016-17:\n\n- Language codes already recorded for pupils with English as an Additional Language pp137-140
- Ethnicity codes — complex and detailed pp130-136
- Pupil exclusion reasons — highly sensitive, data are never expunged from the NPD records p142
- Special Educational Needs (SEN) health data, also used by third parties without consent p142

Nationality data

It was said on Oct 12th, the Government, "will use information on pupils’ nationality and country of birth to understand how we can give all pupils a better education that caters to their individual needs.”

The data in the system may bear little resemblance to what parents provide, there is generally only one field on forms. The Department advises schools that “they do not need to ask for consent” and drop down forms in some school systems (SIMS) have fields to ascribe the source of language, nationality and ethnicity.

A large range of ethnicity groups and subgroups are captured [pp 130-136] which are often used together with the existing language data already collected as a proxy for heritage data. However academies are not required to maintain consistency by using common school transfer files, so “all pupils” data are not treated equally. These fields can also be selected and ascribed at the discretion of the school administrator.

What is required vs already known for a study of the impact of children on the education system is unclear. Nationality is not a required field. It is therefore doubtful what quality the data will be. Given the extensive concerns and lack of purposes given in the guidance, and damage done during its collection, many parents and pupils have refused to supply the data. We know of schools that have decided to leave the default for all. We also know of schools that have asked the children to complete the paperwork in class, and return it without parents having seen the entry. It is unlikely to be a reliable and consistent quality measure.

What will new data be used for?

On July 26, 2016 the Minister for School Standards wrote in answer to a Parliamentary Question: "There are currently no plans for the Department to change the existing protocols and processes for the handling and disclosure of confidential information.”

The Home Office has been using individual pupils’ home address and school address to target individuals which was not public. There was no intent to change current data handling practices, so we can reasonably assume these data would also have been accessible to the Home Office in future.

When over 20 human rights organisations wrote a letter under the Against Borders for Children campaign on 26th September the Department spokesperson told the BBC that, “Pupil nationality data ‘will not be passed to Home Office’. That pupil data already is and would continue to be was not mentioned.

No one to date (21/10) has been able to see the new ‘data sharing agreement’ reportedly “in place” on October 12th according to the Guardian but that we know on September 29th was “a recent development”.

What weight will it have to prevent the scope creep that has moved the National Pupil Database from collection of a few data in 2000, and use for internal Department statistics, to giving out individual children’s personal data including their reason for exclusions, absence, children in care status, ethnicity, geographical and personal identifiers, full attainment history and for commercial purposes without consent?

44 https://www.youtube.com/watch?v=3zTjplLh3k
45 http://www.parliament.uk/business/publications/written-questions-answers-statements/written-question/Commons/2016-07-15/42942/
46 http://www.schoolsabc.net/2016/09/letter-justine-greening/
47 http://www.bbc.co.uk/news/education-37474705
This change enables the identification of migrants

The new, ‘country-of-birth and nationality’ adds a way that could be used to actively seek out migrants, their homes and schools in NPD.

According to a 2015 report from Professor Steve Strand at the Oxford Migration Observatory, the leading academic on the National Pupil Database, and in the context of discussing language and data in the NPD48:

“The NPD is limited in a number of ways, however. Importantly, it does not have a variable such as nationality or country of birth that can be used to identify migrants.”

The idea of identifying migrants with real consideration for targeting funding, teaching needs is positive, but there is no funding proposal behind this census expansion that has been put into the public domain.

The Government has been unwilling to discuss existing use of the data

Deportation and immigration enforcement are among the purposes for which pupils’ home address and school addresses, have been given out to the Home Office (HO). These data on named individuals have been taken from the existing school census data at the Department for Education (DfE), and used for enforcement, in addition to purposes for legitimate safeguarding support, and for use by police. These uses are only known in the public domain as a result of a Freedom-of-Information request published on Oct 6th.

MP Greg Mulholland on October 10th, who asked Justine Greening whether the policy risked turning teachers into border guards, the spokespersons from the Department, The School Standards Minister, The Education Secretary, and the Lords spokesman have all stuck to the same line since May 2016:

“These data items will not be passed to the Home Office. They are solely for internal DfE use for analysis, statistics and research.”

Each of these opportunities has afforded Education officials at all levels to speak clearly and honestly about all Home Office use of identifiable data under questions of use by ‘other government departments.’

What purposes were signed off for this change?

“Whilst the department collects limited information on children who have moved into the English education system from abroad, the collected information is insufficient to ascertain the impact that such entrants have on the education system. The introduction of these data items will assist in the identification of such pupils and may facilitate the targeting of support to such pupils.” (RFC form) 53

48 Prof. Steve Strand on adding nationality and country-of-birth to the NPD http://www.migrationobservatory.ox.ac.uk/resources/briefings/educational-outcomes-among-children-english-additional-language-eal/
50 http://www.bbc.co.uk/news/education-3747705
52 https://hansard.parliament.uk/lords/2016-10-12/debates/BB938B0A-75FO-463B-8EBB-44DC2C4656AF/SchoolCensusPupils%E2%80%99Nationality
The original Dec 2015 change specification is exclusively to add files to collect “Country-of-Birth” and “Nationality”. No technical change at this time is publicly documented that will add anything to do with language skills. EAL assessment was added only in February 2016.

An FOI request for the meeting minutes from that SCSB November 2015 Star Chamber where the changes were approved, has been refused preventing independent public scrutiny of plans or policy intent.

The business case for the census and early years census expansion costs and the benefit and risk for local and national changes are unknown, since they have also been declined. We await the DfE’s response.

**Policy implementation**

The guidance was published by the Department on May 4 2016 a month later than initially anticipated due to a delay in obtaining clearance. It is not clear why this was published before the SI was laid on July 27.

Autumn census was 6th October 2016. Given the summer holidays this meant a very short time for Local Authorities and schools to receive the new communication understand it and implement the collection. It left no time for scrutiny or public consultation before becoming statute on September 1 2016.

The lack of openness in discussion at each opportunity on Home Office access, the lack of willingness to open decision-making and business case open for scrutiny, and lack of meaningful safeguards to know that these new sensitive data would not be used for the purposes many see as a breach of confidence have created a deficit of trust. Its implementation has also caused harm.

The Against Borders for Children campaign collated parents and pupils’ reactions and samples of forms shared. The majority of paper forms did not explain that the submission was optional or that parents could indicate ‘Refused’ or ‘Not Yet Obtained’. Text messages didn’t go into any explanations at all. The majority of schools informed parents it was data ‘required’ and for funding. Schools worry they will be sanctioned and wrongly assumed it was required.

Parents who had concerns but did not know it was optional, took to social media asking each other what to do. Even celebrities felt helpless.

- **Brighton and Hove City Council wrote to schools** in their area that in the event of parents or pupils refusing ethnicity, they could ascribe it.

- **Schools Week investigated** the school census of its own accord, and found classroom discrimination where only children who were not white-British were being asked to bring in passports to school.

- **Parents at a school in London have asked on October 17th,** what they can do if the school wishes to withdraw its entire country-of-birth and nationality school census data submission, as they didn’t feel parents had made informed decisions to provide their personal data.

- **Children and young people have felt discrimination and embarrassment in front of their peers calling for this ‘racist policy to be scrapped’**

Schools Week reported: “confusion could increase fears among ethnic minority families about how the data might be used, although education leaders say they have received assurances it will not be passed to the Home Office.” The National Union of Teachers (NUT) called for transparency of all data use with consent.

Campaigners continue to receive emails and social media messages of parents with children, or directly from teens who feel harassed, discriminated against, embarrassed in front of peer groups. It is changing pupils’ and family relationships with school staff and influencing young people’s perceptions forever.

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54 This FOI request suggests that the new census items were known but delayed in Spring 2016 School Census guidance for 2106/2017 https://www.whatdotheyknow.com/request/school_census_guidance_for_21062?utm_campaign=alaveteli-experiments-87&utm_content=sidebar_similar_requests&utm_medium=link&utm_source=whatdotheyknow

55 Lily Allen Singer and parent “I got my notice on Tuesday and it said all entries had to be in by Friday. I think it’s probably too late” https://twitter.com/lilyallen/status/7845773662629376

56 Schools Week investigation finds classroom discrimination in data collection http://schoolsweek.co.uk/pupils-who-were-not-white-british-told-to-send-in-birthplace-data/

57 Parents call for “racist policy to be scrapped” https://inews.co.uk/essentials/news/education/parents-call-racist-country-birth-request-policy-schools-scrapped/

58 Parents and pupils call for collection to be scrapped, calling it racist https://news.co.uk/essentials/news/education/parents-call-racist-country-birth-request-policy-schools-scrapped/
Supplementary: Example of common errors in sample collection form

- Required, mandatory, no opt out offered.
- Assurance that data will be held confidentially and no mention of handing out to third parties.
- The Information will not be used for any other purpose.

[Redacted for personal and school identifying information]

TO: ALL PARENTS AND CARERS

Dear Parents and Carers

The Department for Education (DFE) has stated that each school must provide information on every student’s nationality and country of birth.

As this is a mandatory requirement, we would be grateful if you would please complete the attached form, for each child attending [REDACTED] and return to the School Office by Friday, 22 July 2016.

We would like to reassure you that the information provided will be held confidentially at all times and it will be passed on to any other school to which your child transfers to avoid repeating the exercise.

The information provided will be used to compile statistics on the school careers and experiences of children from different backgrounds, to help ensure that all children have the opportunity to fulfill their potential. These statistics will not be published in a way that allows individual children to be identified publicly and the information will not be used for any other purpose. From time to time this information will be passed to the Local Authority and the DFE to contribute to local and national statistics.

It is imperative that this form is completed and returned to the school. Please complete the attachment and send back to school or send an email with all the stated information to [REDACTED].

Should you need any help completing the form, please do not hesitate to contact the School Office.

Thank you in advance for your support.

Yours sincerely

Supplementary: Example of screen to capture data without consent “ascribing” sensitive personal data at the school’s discretion