

last edit 7 October 2023 Ref data coding manual items <a href="https://codingmanual.hesa.ac.uk/22056/dataDictionary/">https://codingmanual.hesa.ac.uk/22056/dataDictionary/</a>	WDTK	Note	DPIA	Number of student records supplied	Number of staff records supplied	Trans field Optional for all providers in Scotland. <a href="https://web.archive.org/web/20230202165749/https://codingmanual.hesa.ac.uk/22056/Student/field/TRANS">https://web.archive.org/web/20230202165749/https://codingmanual.hesa.ac.uk/22056/Student/field/TRANS</a>	SEXTORT Sexual Orientation Compulsory for all Students at providers in England, Northern Ireland and Wales. Optional for all Students at providers in Scotland. <a href="https://codingmanual.hesa.ac.uk/22056/Student/field/SEXTORT">https://codingmanual.hesa.ac.uk/22056/Student/field/SEXTORT</a>	GENDERID All Students at providers in England, Northern Ireland and Wales. The data is recorded on the basis of the student's own self-assessment. This question should be asked alongside the sex identifier question. The valid entries have been aligned with the census in each country. Suggested question: 'Is the gender you identify with the same as your sex registered at birth?' 98 Prefer not to say; this code should be used when the student does not wish to declare this information.99 Not available; this code should be used when the provider is unable to obtain the data in order to submit it to HESA. Note that this option should not be presented to students explicitly.	Students told?	Legal basis?	MOU / data sharing agreement	Opt in
Aberystwyth	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_12">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_12</a>		we do not hold a DPIA in relation to the Data Futures programme.					the information requested is not held by the University. After the test phase of the programme, data submissions will be retained. Please note, the HESA staff return is not part of the Data Futures programme at present.				The University has a legal obligation to provide student data to the Higher Education Statistics Agency (HESA). As part of the data collection process, students are given the opportunity to 'prefer not to say' when providing a response to certain data fields.
Cardiff University	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_13">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_13</a>		We do not hold this information.	A frequency count of 36,292 students is attributed to our submission in the HESA OVT in regards to this submission.	0			we can confirm that the University holds information about student GENDER ID and SEXTORT Sexual Orientation. The data submitted on 01/06/23 has not yet been finalised. However, the information that you have requested in relation to academic year 2022/23 is due to be published by HESA in Spring 2024 and will be available on the HESA website		Our legal basis for processing personal data is that it is "necessary for compliance with a legal obligation to which the data controller is subject", UK GDPR Article 6(1)(b), and our condition for processing special category data is that it is "necessary for reasons of substantial public interest", UK GDPR Article 9(2)(g). The substantial public interest condition is "statutory and government purposes", Data Protection Act 2018 Schedule 1 Part 2 Para 6. The university is [1] mandated to return data to HEFCE under Section 27 of the Higher Education (Wales) Act 2015.		
Coventry University	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_14">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_14</a>	REF: FOIA2129 apology for delay										
Goldsmiths, University of London	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_15">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_15</a>	comment made in reply: "With regard to your request for the total number of students for whom data was processed in the (i) GENDER ID and (ii) SEXTORT Sexual Orientation data fields, I need to inform you that the College considers that it is an accepted practice to submit information to [4]HESA each year on a wide range of characteristics pertaining to its students and staff. This information is subsequently published by HESA in line with its data management methodologies, which are designed to mitigate the risk of identifying individuals."		The total numbers supplied to HESA in the academic year 2022-23 <ul style="list-style-type: none"> <li>o 13268 student records; and</li> <li>o 0 staff records</li> </ul>						Collection of these sensitive or special categories of data is necessary for statistical research purposes to help public authority data controllers to meet their public-sector equality duties under the Equality Act 2010. This processing is lawful under GDPR Article 9(2)(j) "The Higher Education and Research Act, Section 8, which states that: "(b) a condition that requires the governing body of the provider to provide the OIS, or a person nominated by the OIS, with such information for the purposes of the performance of the OIS's functions as the OIS may require it to provide" <<< DDM Note this is not statistical data but named.		
King's College London	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_16">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_16</a>		None. Doesn't meet threshold for high risk.	Most recent file with counts available in this time period was the May submission, which contained 82,350 unique students (rounded)	In the same time frame, the staff number (headcount) that would have been returned to HESA (JISC) would be 12,701		information has therefore been withheld under Section 22 of the ('future publication')		no. <a href="https://web.archive.org/web/20230924223706/https://www.kcl.ac.uk/professional-services/business-assurance/student-data-collection-notice">https://web.archive.org/web/20230924223706/https://www.kcl.ac.uk/professional-services/business-assurance/student-data-collection-notice</a>			information has therefore been withheld under Section 22 of the ('future publication')
Liverpool John Moores University	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_17">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_17</a>		This information is not held	We submitted data to HESA on 31/05/2023 for 26773 individual students.	We submitted data to HESA on 10/11/2022 for 2062 staff		Provided male / female counts not SEXTORT data	Description Count Yes 24955 No 446 Prefer not to say 47	Students and Staff members are informed that this information will be shared in our privacy notices	This data is processed under the lawful basis set out in Article 6,1, (c) and Article 9,2(a) of the General Data Protection Regulation	This is not held by LJMU	

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Newcastle University	<a href="https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_18">https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_18</a>		We do not hold a DPIA for this processing				(d)(1) The HESA Data Futures Student return is still in active development and we have not yet been required to submit data for all students. The full figures will be available after the final submission is made later this year. Until the data until has been processed, checked and approved for release, we are withholding this under Section 22 of the Freedom of Information Act (2000).		Students are presented with our student privacy notice at the point of data collection. This makes reference to our data sharing with HESA. Please see the following link: (unclear on width) web search shows <a href="https://www.ncl.ac.uk/data-protection/informationforstudents/studentdatacollectionnotices/">https://www.ncl.ac.uk/data-protection/informationforstudents/studentdatacollectionnotices/</a>	Legal Obligation	This information is not held.	There is no option to opt out of the processing as this is a legal requirement, however "information refused" is an option at the point of data collection.
Sheffield Hallam University	<a href="https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_19">https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_19</a>		none	The file submitted on 22 May 2023 passed schema and contained 20,397 students, 30% of our population as measured by the 2021/2 frequency counts would be 20,315 students.			Recorded information held: Total = 20,397 10 Bisexual = 1,245 11 Gay or lesbian = 469 12 Heterosexual or straight = 17,237 19 Other sexual orientation = 372 98 Prefer not to say = 1,074	Total = 20,396 98 Prefer not to say = 185 01 Yes = 20,008 02 No = 203	The University has always complied with HESA requirements that we provide their collection notices and fair processing information to our staff and students. In recent years we have provided the link to the collection notices to staff and students and details of the merger of the two organisations will have been available to staff and students via the updates on the link. We do not hold information confirming when JISC/HESA updated the information on their collection notice.	The lawful basis for the processing is UK GDPR Article 6(1)(e) (processing is necessary for the performance of a task carried out in the public interest of in the exercise of official authority vested in the controller).  The condition for the processing of special category personal data is UK GDPR Article 9 (2)(g) (processing is necessary for reasons of substantial public interest) together with paragraph 4 (Research etc.), paragraph 6 (Statutory etc and government purposes), and paragraph 8 (Equality of opportunity or treatment) of Schedule 1 of the Data Protection Act 2018, and UK GDPR Article 9(2)(j) (processing is necessary for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes in accordance with Article 89(1)).	none	This processing is required under our registration with the Office for Students. We do not routinely provide an opt-out for students in relation to data sharing with HESA/JISC for Data Futures and other regulatory data reporting. However, there are a number of data points in our collection (for example the gender ID field and the sexual orientation field) where we offer an option of "prefer not to say" and you will see from the figures provided in response to question (e) above, that students do use this option. We provide information about data subject rights and how to exercise them to all students in our privacy notice for students, including the right to object to processing.
University College London	<a href="https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_20">https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_20</a>	Long overdue Sept 25th										
University of Birmingham	<a href="https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_21">https://www.whatdotheyknow.com/alavetelli_pro/info_requests/student_data_data_futures_collec_21</a>	Very poor response	The University neither confirms nor denies that it holds this information.						<a href="https://www.birmingham.ac.uk/privacy/student-privacy-notice">https://www.birmingham.ac.uk/privacy/student-privacy-notice</a>	Students are required to register with the University before the start of each academic year. The information presented to the students during the registration process leads them to the Student Privacy notice (link for which has been provided in answer to questions b and c above)		

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University of Durham	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_22">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_22</a>		none	The University confirms that it holds the information that you have requested. However, the University declines your request for release under section 43(2) of the Freedom of Information Act 2000 (FOIA). Section 43(2) of the FOIA exempts information from release where its disclosure under the Act would, or would be likely to, prejudice the commercial interests of any person. As section 43(2) is a qualified exemption, the University has conducted a public interest test and has concluded that release of this data would prejudice the University's commercial interests.			withheld	withheld	privacy notice link online	6/ 9 as per others	none standard HESA agreement link provided	Information not held – the legal obligation lawful basis does not provide for an opt-in/out mechanism.
University of Essex	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_23">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_23</a>		none required	For question (d)(1) the University of Essex has made interim returns to JISC, however these numbers are not our final submission, which is due in October. As such the numbers we have submitted are not representative of the final submission and are subject to change. If you would still like to receive interim numbers, then please do let us know.  (d)(2) Staff records are not required as part of the Data Futures return; therefore, this information is not held by us.	see column E	see column E	see column E		For question (f)(1) the University student privacy notice sets out the basis for this information processing. <a href="https://www.essex.ac.uk/student/my-essex/privacy-notice-students">https://www.essex.ac.uk/student/my-essex/privacy-notice-students</a> online link to HESA <a href="https://www.hesa.ac.uk/about/regulation/data-protection/notices">https://www.hesa.ac.uk/about/regulation/data-protection/notices</a>	Our lawful basis for processing this data is GDPR Article 9(1)(e) Public task. In relation to the processing of special category data this would fall under GDPR Article 9(2)(g) substantial public interest. The associated condition from the DPA 2018 is 6. Statutory and government purposes.		As this is a legal requirement there is no opt in or out of this process.
University of Exeter	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_24">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_24</a>	Overdue as was provided in a 5 day limited sharepoint link										
University of Liverpool	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_25">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_25</a>	No information held.		(1) Data provided to HESA/JISC to meet the 31st May 2023 HESA Data Futures deadline is an incomplete test dataset which will not be processed by HESA for onward use and does not provide a representative overview of the institution as a whole. The total number of students included was 19,667. Transfers were made on 27/04, 28/04, 03/05, 04/05, 05/05, 09/05, 10/05, 11/05, 15/05, 16/05, 18/05, 19/05. (2) Not applicable.			We can confirm that the University holds information; however, it is withheld because it falls under the exemption provided by Section 22 of the FOIA (Freedom of Information Act) relating to "information intended for future publication"		?		?	It is not possible for students to opt out of being included in the HESA Data Futures student return as it is a regulatory requirement that we include all students
University of Manchester	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_26">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_26</a>	Long overdue Sept 25th										

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University of Nottingham	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_27">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_27</a>		We have not undertaken a DPIA Assessment for this processing				Whilst the University confirms that it holds the figures, it will not provide a full breakdown in response to this question as it considers the information to be exempt under Section 40(1) of the FOIA – Personal Data		"this is not a "New" programme, the purpose and the outcomes remains the same. Staff and Students are informed of our statutory obligations and that their data will be submitted in order to complete the HESA returns annually" - <a href="https://www.hesa.ac.uk/files/Student_collection_notice_2023-24.pdf">https://www.hesa.ac.uk/files/Student_collection_notice_2023-24.pdf</a>	<a href="https://www.hesa.ac.uk/about/regulation/data-protection/notice">https://www.hesa.ac.uk/about/regulation/data-protection/notice</a>	There are no Data Sharing agreements for this, nor any MOU's about the HESA return	
University of Sheffield Hallam	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_28">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_28</a>	Notes that this is NEW in the DPIA "The Data Futures project aims to implement the new data analysis requirements, based on the new data structure, to HESA for our statutory returns. This involves the collection of new data as well as existing and transforms this into a dataset that can be uploaded in the HESA portal. In addition to this, we will be undertaking an exercise with the inputting/owing departments of the data to ensure this data is entered or amended at source rather than downstream."	attached – note that much is incomplete including sign off				Student.SEXORT Code Description Count 10 Bisexual 3026 11 Gay or lesbian 1144 12 Heterosexual or straight 32166 19 Other sexual orientation 707 98 Prefer not to say 5045 99 Not available 542 Blank 0 Total 42630	Student.GENDERID Code Description Count 01 Yes 39994 02 No 472 98 Prefer not to say 1602 99 Not available 0 Blank 562 Total 42630	privacy notice standard			
University of Sussex	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_29">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_29</a>	The privacy notice omits mention of the DfE as recipients and SEXORT in its text on special category data "Our research may include special category data such as ethnicity, political or religious views, genetic data and health data. When we process special category data, we must meet one of the conditions in the data protection legislation (Article 9 of the UK GDPR)." reference number FOI-23-0156	No DPIA has been completed. The University has a process that involves a number of questions which reflect the legislative requirements, in order to assess when a DPIA is required. The answers for this matter indicated that a DPIA was not necessary.	The University has not yet signed off on the HESA Data Futures Return yet, as we are still in the process of collating and analysing the data in its entirety and the data is still a work in progress; the sign-off date is late October					Staff and students are advised that personal data is provided to HESA in our Privacy notice here: <a href="https://www.sussex.ac.uk/about/web/site/privacy-and-cookies/privacy">https://www.sussex.ac.uk/about/web/site/privacy-and-cookies/privacy</a> . The Privacy Notice provides them with a link to the HESA data collection notices and information is provided on that page that confirms that JISC is the Data Controller of the HESA website and any processing described on HESA's website			We have a legal requirement to provide personal data to HESA, as part of our ongoing conditions of registration with the Office for Students. Therefore, students have not been given the choice to opt out of the data collection.
University of Warwick	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_30">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_30</a>		the University can confirm that it does not hold the requested information.	Multiple transfers of data have been made relating to the 2022/23 academic year. The latest submission prior to June 21, 2023, was made on 1 June 2023 containing 18552 student records	0		Total: 18,552. Bisexual = 944; Gay or Lesbian = 316; Heterosexual or straight = 14,222. Other sexual orientation = 389; Prefer not to say = 2278; Not available = 400; Blank = <5	Total: 18,552. Yes = 17667; No = 203; Prefer not to say = 320; Not available = 362.	No mention of named records going to the DfE or funding providers <a href="https://warwick.ac.uk/services/legalandcomplianceservices/dataprotection/privacy/notice/student-privacy/#process-consent">https://warwick.ac.uk/services/legalandcomplianceservices/dataprotection/privacy/notice/student-privacy/#process-consent</a>	Students are notified at enrolment through the student-privacy notice (link as in question (b), above), which also links to the HESA Student Collection notice, in the public domain at: Collection notices   HESA	generic template (blank) provided <a href="https://www.hesa.ac.uk/files/HESA_Subscription_Agreement_England.pdf">https://www.hesa.ac.uk/files/HESA_Subscription_Agreement_England.pdf</a>	none
York St John University	<a href="https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_31">https://www.whatdotheyknow.com/alaveteli_pro/info_requests/student_data_data_futures_collec_31</a>		A DPIA is not mandatory and was not produced for this processing	10651 student records have been supplied. Figures were uploaded to the HESA Data Platform on 21/07/23. No staff records have been supplied.			1285 Bisexual 339 Gay or Lesbian 7579 Heterosexual 378 Other 761 Prefer not to say Page 3 of 3 288 Not available 7 Blank	10065 Yes 281 No 304 Prefer not to say 7 Blank	The University's data sharing processes for this project are covered under its existing Student Data Protection Statement	The lawful basis is UK GDPR Article 6 (1)(e) where the processing is "necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller". Where special category data is processed, the University relies on UK GDPR Article 9(2)(g) and the substantial public interest condition detailed in Part 2(8) of Schedule 1 of the DPA 2018	Not applicable.	The University's data sharing processes for this project are covered under its existing Student Data Protection Statement